

# Brokerage Licence Application



601-1595 Bedford Highway, Bedford, Nova Scotia, B4A 3Y4  
 Phone: 902-468-3511 800-390-1015  
 Fax: 902-468-1016 800-390-1016  
 Website: nsrec.ns.ca

All questions must be answered completely and truthfully. The making of a false statement on this statutory declaration constitutes a criminal offense and is punishable by law. Any statutory declaration containing a material falsity may result in the refusal of this application and the suspension or cancellation of any license issued thereupon.

**NOTE:** Incomplete or illegible applications will be returned unprocessed.  
 If more space is needed to respond to questions, attach an additional sheet of paper.  
**A completed Schedule A or Schedule B, as appropriate, must be attached to this application.**

## PART A | BROKERAGE INFORMATION

FULL AND EXACT CORPORATION NAME (AS RECORDED AT THE REGISTRY OF JOINT STOCK COMPANIES)		
BROKERAGE IS REGISTERED AS A:		REGISTRY OF JOINT STOCK COMPANIES REGISTRATION #:
<input type="checkbox"/> CORPORATION <input type="checkbox"/> PARTNERSHIP <input type="checkbox"/> SOLE PROPRIETORSHIP		
BROKER'S NAME		
BROKERAGE BUSINESS ADDRESS		CITY/TOWN
PROVINCE	POSTAL CODE	OFFICE PHONE
BROKER'S EMAIL ADDRESS ( <b>REQUIRED</b> )		FAX NUMBER

Emails are required as the Commission occasionally communicates legislative and bylaw changes to licensees to ensure compliance. In applying for a real estate licence you are consenting to receiving this information.

List the names of shareholders who currently hold 5% or more of the shares in the corporation or list the names of the partners in the partnership.

FULL NAME OF SHAREHOLDER

**PART B | BRANCH OFFICES**

BRANCH OFFICE ADDRESS		CITY/TOWN
POSTAL CODE	BRANCH OFFICE PHONE NUMBER	BRANCH OFFICE FAX NUMBER
SECOND BRANCH OFFICE ADDRESS		CITY/TOWN
POSTAL CODE	BRANCH OFFICE PHONE NUMBER	BRANCH OFFICE FAX NUMBER

**PART C | TRUST ACCOUNT INFORMATION**

FINANCIAL INSTITUTION TRUST ACCOUNT IS KEPT AT	
ADDRESS OF FINANCIAL INSTITUTION	ACCOUNT NUMBER

**PART D | QUESTIONNAIRE**

In the last five years, has the broker, the corporation, any of the directors or officers of the corporation, partnership operating a firm as the case may be:

1. Been licensed in any other capacity in Nova Scotia or elsewhere under any legislation which requires licensees to deal with the public?  Yes  No  
If yes, explain: \_\_\_\_\_
2. Been refused a licence or had a licence suspended or revoked in Nova Scotia or elsewhere under any legislation which requires registration to deal with the public?  Yes  No  
If yes, explain: \_\_\_\_\_
3. Been licensed under another name other than the names mentioned in Part A?  Yes  No  
If yes, explain: \_\_\_\_\_
4. Been refused a licence or denied authorization to trade in real estate, or has such authorization or licence been suspended or revoked in Canada or elsewhere?  Yes  No  
If yes, explain: \_\_\_\_\_
5. Are you a discharged bankrupt, awaiting discharge or presently a party to bankruptcy proceedings?  Yes  No  
If yes, explain: \_\_\_\_\_
6. Been involved as an officer, director or majority shareholder with a corporation that is bankrupt or that is presently a party to bankruptcy proceedings?  Yes  No  
If yes, explain: \_\_\_\_\_
7. Been convicted of any offence, for which you have not been pardoned, under any law of any country, province, state or been disciplined by any professional occupational association or society? (Excepting minor traffic violations)  Yes  No  
If yes, explain: \_\_\_\_\_
8. Been a defendant or respondent in any proceedings in any civil court in any jurisdiction where fraud was alleged?  Yes  No
9. Had a judgement of a court against you for the award of money?  Yes  No
10. Has such judgement referred above been satisfied?  Yes  No
11. Are there any lawsuits or judgements pending?  Yes  No

**PART E | ADDITIONAL INFORMATION**

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**PART F | AUTHORIZATION**

I hereby authorize the Nova Scotia Real Estate Commission to verify with the appropriate sources any information given or supplied as part of this application, which may include a credit check or checking for judgements. I, the undersigned, make oath that all statements and answers in the foregoing application are true and correct to the best my knowledge, information and belief.

Sworn before me at \_\_\_\_\_ on this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

\_\_\_\_\_  
COMMISSIONER, NOTARY OR SOLICITOR SIGNATURE

\_\_\_\_\_  
APPLICANT SIGNATURE

\_\_\_\_\_  
PRINT NAME

\_\_\_\_\_  
PRINT NAME